

34 OUTGOING TELEGRAM
DEPARTMENT OF STATE

FORM DS 322-10CRJ

ZFFVQ | 093887 | 161642Z JUN 70 | ROGERS

CONFIDENTIAL

CLASSIFICATION

SPECIAL CHARGES

ARA/BR: FRAVNDAL: ME

NAME

6/16/70 EXT 21245

TEL. EXT.

ARA/BR: RUDEAN

NAME

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CLEARANCES

CLEARANCES

DESIGNED DISTRIBUTION

IMMEDIATE

RIO DE JANEIRO

IMMEDIATE

BRASILIA

SPECIAL HANDLING

CAPTIONS

REF: RIO 4132

SUBJECT: ARREST OF AMERICAN RESEARCHERS.

EMBASSY SHOULD STRONGLY URGE INDIVIDUALS INVOLVED TO MAKE FULL REPORT TO POLICE AND TO EMBASSY IN WRITING, OFFERING EMBASSY FACILITIES TO DO SO IF NECESSARY. COPY OF REPORTS SHOULD BE SENT ARA/BR SOONEST.

CONCUR FULLY YOUR RECOMMENDATION BRING MATTER TO ATTENTION FONOFF AND GENERAL FRANCA. SUGGEST YOU ALSO APPROACH SECRETARY GENERAL JUSTICE MINISTRY AND APPROPRIATE STAL#FF LEVEL OFFICIAL IN OFFICE OF PRESIDENT IN BRASILIA WHEN YOU HAVE THE REPORTS.

FYI - ROETT RECEIVED FULL FIELD CLEARANCE IN 1962 AS FSO CANDIDATE AND CURRENT CHECK FBI RECORDS REVEALS NO DERA#OGATORY INFO. END FYI. 4

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ACTION ARA-20

INFO OCT-01 AID-28 SCS-04 SCA-01 E-15 COM-08 PM-05 NSC-1
 SS-20 RSC-01 CIAE-00 DODE-00 INR-07 NSAE-00 P-03
 USIA-12 PRS-01 O-03 OPR-02 PPT-02 CU-05 PC-04 RSR-01
 /153 W

Rev 4132
 6/15/70

R 152100Z JUN 70
 FM AMEMBASSY RIO DE JANEIRO
 TO SECSTATE WASHDC 5380
 INFO AMEMBASSY BRASILIA

CONFIDENTIAL RIO DE JANEIRO 4132

SUBJECT: ARREST OF AMERICAN RESEARCHERS

1. CARLOS M. PELAEZ, AID CONTRACTOR UNDER THE VANDERBILT UNIVERSITY PROGRAM, WERNER BAER, DEPARTMENT OF ECONOMICS, VANDERBILT UNIVERSITY AND CONSULTANT TO FORD FOUNDATION IN BRAZIL, AND RIORDAN ROETT, ALSO OF VANDERBILT UNIVERSITY, WERE PICKED UP BY BRAZILIAN SECURITY AGENTS, PRESUMABLY DOPS, ON THE EVENING OF JUNE 13. ACCORDING TO THEIR ACCOUNTS, THEY WERE HELD INCOMMUNICADO FOR MORE THAN SIX HOURS AND SUBJECTED TO HEAVY INTERROGATION, MAINLY DEALING WITH THEIR POLITICAL OPINIONS. WHILE NONE SUFFERED PHYSICALLY HARM, THEY WERE SUBJECTED TO INDIGNITIES AND TREATED ROUGHLY (PRODDED WITH GUNS, THREATENED, FOUL LANGUAGE USED). THE APARTMENT OF TWO OF THE VISITING RESEARCHERS WAS THOROUGHLY RANSACKED AND SEVERAL PERSONAL ITEMS WERE STOLEN (CAMERA, JEWELRY, MONEY). AT NO TIME WOULD THE AGENTS IDENTIFY THEMSELVES AND THE PRISONERS WERE BLINDFOLDED TO AND FROM WHAT WE SUSPECT TO BE THE 31ST GUANABARA POLICE PRECINCT WHERE THEY WERE QUESTIONED.

2. THE EMBASSY WAS NOTIFIED OF THE INCIDENT BY THE WIFE OF PELAEZ ABOUT TWO HOURS AFTER THE SUBJECTS HAD BEEN TAKEN AWAY. ALL EFFORTS WERE MADE TO LOCATE THEM AND AFFECT THEIR RELEASE. IT IS NOT KNOWN IF THE SUBJECTS WERE FREED AS A RESULT OF THE EMBASSY'S INQUIRIES, AS ALL SECURITY AGENCIES DENIED INVOLVEMENT.

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By NARA Date 3/12/09



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3. LOCAL REPRESENTATIVES OF THE FORD FOUNDATION AND THE VANDERBILT UNIVERSITY PROGRAM ARE CONCERNED OVER THE IMPLICATIONS THIS INCIDENT MAY HAVE FOR THEIR PRESENT PROJECTS AND FOR THEIR FUTURE IN BRAZIL. THEY ARE COMMUNICATING THEIR CONCERN TO THEIR HOME OFFICES THIS AFTERNOON AND THE DEPARTMENT MAY WELL RECEIVE INQUIRIES OR REQUESTS FOR ACTION FROM THEM.

4. WE HAVE RECOMMENDED TO INDIVIDUALS INVOLVED THAT THEY MAKE FULL REPORT TO POLICE. IF THEY DO SO AND IF DEPT PERCEIVES NO OBJECTIONS, EMB PLANS TO BRING THEIR COMPLAINT TO ATTENTION OF FONOFF AT ASST SECY GEN LEVEL AND TO ATTENTION OF GUANABARA STATE DIRECTOR OF PUBLIC SECURITY, GENERAL FRANCA.

5. COMMENT: THE ARREST OF THE THREE SUBJECTS MAY HAVE BEEN PART OF A GENERAL ROUND-UP OF INDIVIDUALS WHO MIGHT HAVE ANY INFORMATION CONCERNING THE ABDUCTORS OF GERMAN AMBASSADOR HOLLEBEN. IT MAY ALSO HAVE BEEN RELATED TO UNSUBSTANTIATED DENUNCIATIONS MADE TO BRAZILIAN EMBASSY WASHINGTON CONCERNING ROETT. IT IS DOUBTFUL THERE WAS ANY CONNECTION BETWEEN THE ARRESTS AND THE WORK THESE ORGANIZATIONS ARE DOING IN BRAZIL. THIS APPEARS TO BE AN ISOLATED INCIDENT; THERE IS NOTHING TO SUGGEST THAT THE SUBJECTS WILL BE SUBJECTED TO ANY FURTHER HARASSMENTS OR THAT ANY OTHER AMERICANS WILL BE SINGLED OUT FOR QUESTIONING.
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ACTION ARA-20

INFO OCT-01 PM-05 NSC-10 SS-20 RSC-01 L-04 CIAE-00 DODE-00

INR-07 NSAE-00 P-03 USIA-12 PRS-01 O-03 SY-03 AID-28

RSR-01 /119 W

041684

R 181400Z JUN 70
 FM AMEMBASSY RIO DE JANEIRO
 TO SECSTATE WASHDC 5456
 INFO AMEMBASSY BRASILIA

C O N F I D E N T I A L RIO DE JANEIRO 4241

SUBJECT: ARREST OF AMERICAN RESEARCHERS

REF: STATE 093887

1. EMBASSY RECEIVED DETAILED REPORT OF POLICE ARREST FROM AMERICAN RESEARCHERS AFTERNOON JUNE 16 (POUCHING COPY ARA/BR). I HAD LONG DISCUSSION JUNE 17 WITH NICHOLSON (FORD FOUNDATION), ROETT, AND BAER TO UNDERSCORE OUR CONCERN AND DESCRIBE ACTION WE PROPOSED TO TAKE. NICHOLSON EXPRESSED HIS AGREEMENT. THAT AFTERNOON NICHOLSON AND CONSUL WALLACE MET WITH GENERAL FAUSTINO, CHIEF OF CABINET OF GUANABARA STATE SECURITY SECRETARIAT TO DELIVER DETAILED STATEMENTS OF THREE ARRESTED PROFESSORS WITH COVERING LETTER FROM NICHOLSON REQUESTING INVESTIGATION. WALLACE MADE BRIEF ORAL REMARKS INDICATING EMBASSY CONCERN AND STATING OUR INTENTION TAKE MATTER UP WITH FONOFF. FAUSTINO SEEMED FULLY PREPARED ACCEPT ASSUMPTION THAT INCIDENT WAS WORK OF POLICE, EXPLAINING THAT AFTER KIDNAPPING OF AN AMBASSADOR POLICE MUST UNDERTAKE WIDEST POSSIBLE SEARCH FOR CLUES. MANY ANONYMOUS DENUNCIATIONS ARE RECEIVED, HE SAID, OFTEN THEY ARE UNFOUNDED BUT ALL MUST BE CHECKED OUT. FAUSTINO PROMISED DISCUSS INCIDENT WITH HIS CHIEF, GENERAL FRANCA, AND ARRANGE FURTHER APPOINTMENT WITH EMB.

2. AS SOON AS PERTINENT DOCUMENTS ARRIVE THERE, EMBASSY BRASILIA WILL TAKE MATTER UP AT ASSISTANT SECRETARY GENERAL LEVEL AT FOREIGN OFFICE. EMBASSY WILL DELIVER AIDE MEMOIRE

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WHICH TRANSMITS COPY OF REPORT, EXPRESSES US CONCERN, AND REQUESTS INVESTIGATION AND INFORMATION AS TO RESULTS.

3. REPORT OF INCIDENT SUGGESTS POSSIBILITY THAT POLICE COMMENCED THIS ACTION INITIALLY IN EFFORT TO CHECK ON BRAZILIAN WHO OWNED APARTMENT, AND NOT OUT OF SUSPICIONS CONCERNING US ORGANIZATIONS OR INDIVIDUALS. UNFORTUNATELY, HOWEVER, I CANNOT BE CONFIDENT THAT FONOFF WILL BE ABLE TO EXPLAIN REASONS FOR INCIDENT TO US, SINCE POLICE MAY REFUSE TO RELEASE DETAILS.

4. WE WILL OF COURSE FOLLOW UP OUR INITIAL APPROACHES TO FONOFF AS NECESSARY. AS FOR APPROACHES TO JUSTICE MINISTRY AND OFFICE OF PRESIDENT, I WOULD PREFER NOT TO USE THESE CHANNELS UNDER PRESENT CIRCUMSTANCES. IN WAKE OF KIDNAPPING OF GERMAN AMBASSADOR, POLICE AND SECURITY PROBLEMS ARE EXTREMELY DELICATE NOW. (AS DEPT AWARE, FONOFF RESENTFUL OF BONN'S SUPPOSED EFFORTS TO GET US TO TIGHTEN PRESSURE ON GOB TO RELEASE HOSTAGES.) IN MY JUDGMENT, APPROACHES TO THESE ADDITIONAL GOB OFFICES WOULD BE PREJUDICIAL TO WHATEVER CHANCES EXIST FOR SATISFACTORY FONOFF RESPONSE. THEY COULD ALSO JEOPARDIZE MY EFFECTIVENESS IN THIS ENTIRE AREA.

5. NICHOLSON PRESENT INTEREST APPEARS TO BE OBTAINING ASSURANCES AGAINST FURTHER MOLESTATION OF THIS SORT, AVOIDING MEANWHILE PUBLICITY WHICH COULD DAMAGE US-BRAZILIAN RELATIONS, AS WELL AS FUTURE OF HIS PROGRAM.
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ACTION: ARA-20

INFO: OCT-01 AID-28 SCS-04 SCA-01 E-15 COM-08 PM-05 NSC-10
SS-20 RSC-01 CIAE-00 DODE-00 INR-07 NSAE-00 P-03
USIA-12 PRS-01 O-03 OPR-02 PPT-02 CU-05 SY-03 PC-04
RGR-01 SCI-06 /162 W

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R 231900Z JUL 70
FM AMEMBASSY RIO DE JANEIRO
TO SECSTATE WASHDC 6050
INFO AMEMBASSY BRASILIA

C O N F I D E N T I A L RIO DE JANEIRO 05193

SUBJECT: DETENTION OF AMERICAN RESEARCHERS:

REF: RIO'S 4740 AND 4132

1. AT THEIR REQUEST, AMERICAN RESEARCH PROFESSORS INVOLVED IN JUNE 12 INCIDENT, ACCOMPANIED BY REPRESENTATIVES OF FORD FOUNDATION, CALLED ON GUANABARA PUBLIC SECURITY OFFICIALS. THEY HAD GONE TO SEE GENERAL FRANCA BUT WERE RECEIVED BY HIS CHEFE DE GABINETE FAUSTINO AND LOWER RANKING OFFICERS. THE PROFESSORS TOLD FAUSTINO THAT THE PURPOSE OF THEIR VISIT WAS TO CLEAR FULLY THEIR RECORDS WITH THE BRAZILIAN AUTHORITIES AND VOLUNTARILY ANSWER ANY FURTHER QUESTIONS ON THEIR ACTIVITIES IN BRAZIL TO ACHIEVE THIS PURPOSE. THEY HOPED TO AVOID A REPETITION OF THEIR EARLIER EXPERIENCE.

2. ACCORDING TO PROFESSOR ROETT, FAUSTINO RESPONDED THAT AS FAR AS SECURITY WAS CONCERNED THE PROFESSORS WERE CLEARED OF ANY IMPLICATION IN THE KIDNAPPING OF AMBASSADOR VON HOLLEBEN. THIS DID NOT MEAN THAT THEY WOULD NOT BE PICKED UP FOR QUESTIONING AGAIN IF SECURITY THOUGHT THEY WERE IN ANY WAY IMPLICATED IN SUBSEQUENT SUBVERSIVE EVENTS. HE SAID THAT THEY MIGHT IMPLICATE THEMSELVES BY THE TYPE OF PEOPLE THEY INTERVIEWED OR WITH WHOM THEY HAD CONTACT. FAUSTINO ADDED THAT THE METHODS USED BY SECURITY AGENTS WERE AN INTERNAL MATTER NOT OPEN TO DISCUSSION.

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3. AGAIN ACCORDING TO PROF. ROETT, FORD REPRESENTATIVES WERE DISMAYED ABOUT THE MEETING AT PUBLIC SECURITY AND THE THREE RESEARCHERS LEFT FEELINGS MORE INSECURE THAN BEFORE. ROETT AND BAEIRI SUGGESTED TO EMBOFF THAT IN TIMES OF CRISIS AMERICAN RESEARCHERS SHOULD BE ADVISED TO TELEPHONE THE EMBASSY ONCE A DAY AND THAT THE DEPARTMENT SHOULD ADVISE AMERICAN ACADEMICIANS ABOUT THE HARASSMENTS THEY MAY BE SUBJECTED TO AS A RESULT OF THEIR RESEARCH IN BRAZIL BEFORE THEY DEPART THE US. EMBOFF REPLIED THAT THE JUNE 12 INCIDENT WAS AN ISOLATED INSTANCE WITHOUT PRECEDENT AND THAT IN HIS OPINION CONDITIONS WERE NOT SUCH TO WARRANT SUCH DRASTIC ACTION.

4. THE EMBASSY HAS STILL NOT RECEIVED MORE THAN AN INTERIM REPLY TO ITS AIDE-MEMOIRE OF JUNE 19.
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